



# Perspective of Dose and Response for Individualized Physical Exercise and Training Prescription

Thomas Gronwald <sup>1,\*</sup>, Alexander Törpel <sup>2</sup>, Fabian Herold <sup>3,4</sup>, and Henning Budde <sup>5</sup>

- <sup>1</sup> Faculty of Health Sciences, Department of Performance, Neuroscience, Therapy and Health, MSH Medical School Hamburg, University of Applied Sciences and Medical University, Am Kaiserkai 1, 20457 Hamburg, Germany
- <sup>2</sup> German Swimming Federation, Korbacher Straße 93, 34132 Kassel, Germany; toerpel@dsv.de
- <sup>3</sup> Research Group Neuroprotection, German Center for Neurodegenerative Diseases (DZNE), Leipziger Str. 44, 39120 Magdeburg, Germany; fabian.herold@st.ovgu.de
- <sup>4</sup> Department of Neurology, Medical Faculty, Otto von Guericke University, Leipziger Str. 44, 39120 Magdeburg, Germany
- <sup>5</sup> Faculty of Human Sciences, MSH Medical School Hamburg, University of Applied Sciences and Medical University, Am Kaiserkai 1, 20457 Hamburg, Germany; henning.budde@medicalschool-hamburg.de
- \* Correspondence: thomas.gronwald@medicalschool-hamburg.de

Received: 11 June 2020; Accepted: 10 July 2020; Published: 14 July 2020



Abstract: Physical interventions are used to increase physical (sports) performance and considered as effective low-cost strategies in the fields of healthcare, disease or injury prevention, and medical treatment. In general, a considerable amount of evidence buttress the application of physical interventions in various fields as it has been demonstrated to contribute to the maintenance and recovery of physical performance, cognitive function, and overall state of health. To implement physical interventions effectively, it is essential to provide an appropriate exercise and training prescription. Exercise and training prescription are key for "dose" specification and for the individualization (personalizing) of physical exercise and training, precisely adjusted and controlled like medication. Since the physiological response to physical interventions is demonstrably individual and dependent on many influencing factors, individualization is an emerging approach aiming to maximize the efficiency of an intervention by accounting for the interindividual heterogeneity. The present brief viewpoint article aims to distinguish and to redefine between the terms dose and response in order to improve the understanding of practitioners, the methodology of study protocols, and to relate future findings to the actual biological (interindividual) variability of acute and chronic responses.

**Keywords:** dose; acute response; chronic response; internal load; external load; exercise and training prescription; exercise is medicine; personalized medicine

## 1. Introduction

There is growing evidence that regular physical activity and/or physical exercise (as planned, structured, and purposive forms of physical activity [1,2]) lead to positive effects on physical performance and health in various physiological subsystems (e.g., metabolic, cardiovascular, musculoskeletal, or central nervous system) and the organism as a whole, which emphasizes its use in different fields of application [3,4]. Hence, "physical interventions", which serve as an umbrella term that covers "physical exercise" (as an acute single bout of physical exercise) and "physical training" (as regularly conducted and multiple bouts of physical exercise [2]), are used and have been proven to be an effective low-cost strategy to recover, maintain or increase physical (sports) performance or the overall health status of an individual in different fields of application (e.g., healthcare, disease and injury prevention, medical treatment). To implement physical interventions effectively in physical (sports) performance



enhancement, disease prevention, and medical treatments, it is essential to provide an appropriate exercise and training prescription [5,6]. Such a prescription should consider the fundamental principles of exercise and training prescription (e.g., regularity, overload, progression [7]) and should fully specify external load variables (such as exercise and training variables) and internal load variables (see Figure 1). Furthermore, exercise prescription is key for "dose" or "dosage" (regularly provided dose over a specific period of time) specification and for individualization (personalizing) of physical exercise and training, precisely adjusted and controlled like medication [8,9]. In the following, we are using dose as an umbrella term covering dose and dosage.



**Figure 1.** Individual physical exercise and training prescription are based on specific objectives and the respective context in the varying fields of application, as well as principles for the programming and monitoring of physical exercise and training. The dose–outcome relationship depends on a multitude of factors, such as factors of external and internal load and influencing factors. HR: heart rate, HRV: heart rate variability, VO<sub>2</sub>: oxygen uptake, RER: respiratory exchange ratio, CK: creatine kinase, CNS: central nervous system, RPE: rating of perceived exertion, DOMS: rating of delayed onset muscle soreness, PAP: post-activation potentiation.

Since the physiological response to physical interventions is demonstrably individual and dependent on many influencing factors, individualization is an emerging approach which aims to maximize the efficiency of an intervention by accounting for the interindividual heterogeneity in athletes, healthy populations and patients [5,10–12]. Therefore, it is necessary to evaluate the actual interindividual differences in acute psychophysiological response(s) to the same acute physical exercise and/or adaptations to the same physical training [13–15]. To take interindividual heterogeneity into account, a discussion about the classification of "responder", "non-responder", "adverse responder", or "individuals who did not respond" has been emerged [14,16], but a generally accepted agreement on an appropriate classification approach has yet not been reached [15–17]. However, the extent of the individual physiological response to physical interventions (sensitivity to respond to the given stimuli) need to be referenced relative to a specific outcome in the variable of interest according to the initial objective. The interindividual responsiveness to physical interventions and, in turn, the interindividual heterogeneity in outcomes are caused by several moderators, including non-modifiable factors (e.g., sex or genotype) and modifiable factors (e.g., nutrition, social or cognitive activities, exercise prescription) [13,14,18,19]. Moreover, it is assumed that low-sensitive responsiveness can be best counteracted by modifying the dose of the physical exercise and/or physical training [20,21]. The latter suggests that the dose of physical interventions per se contributes significantly to the observed interindividual heterogeneity of specific outcomes. In a recent systematic review and meta-analysis, Greenham et al. [22] identified biomarkers of physiological responses associated with altered exercise performance following intensified physical training. The majority of the identified biomarkers demonstrated inconsistent findings, due in part to large interindividual response heterogeneity. The authors recommending that future research should strengthens the focus on individual responses rather than group responses and factors that contribute to the interindividual variability in response. In this regard, the term dose of physical interventions has not yet been clearly defined [23]. The present viewpoint article aims to distinguish between the terms dose and response in order to improve the understanding of practitioners and the methodology of study protocols and to relate future findings to the actual biological (interindividual) variability of acute responses and chronic adaptations.

#### 2. Redefining Dose and Response for Individualized Physical Exercise and Training Prescription

An adequate physical exercise and training prescription is a key element in science and practice to characterize the dose of physical interventions. In order to define the dose of a physical intervention, three key components should be considered: (1) external load (defined as the work completed by the individual independent of internal characteristics), (2) influencing factors (all factors that can strengthen or disturb the stimuli of a single bout of exercise and/or training), and (3) internal load (defined as the individual and acute physiological, psychological, motor, and biomechanical responses to the external load and the influencing factors during and/or after the cessation of a single bout of physical exercise) [2,24–30]. Figure 1 gives an overview of the multitude of factors in the subcategories, without claiming to be complete. In this regard, parameters of external load (e.g., running with a speed of 10km/h or swimming with a pace of 65 s per 100 m) or parameters of internal load (e.g., running with 70% of maximum heart rate) can be used to prescribe and control exercise intensity. Here, the internal load has a key role in physical exercise and training prescription as it represents the crucial impetus for acute and/or chronic changes [18,30–34]. Hence, we propose that dose can be operationalized and monitored using a specific indicator (or set of specific indicators) of internal load as proxy. In this regard, it is mandatory to distinguish with respect to the number of exercise sessions between a single bout of physical exercise (i.e., one session leads to an internal load) and repeated bouts of physical exercise defined as training (i.e., several and consecutive sessions during a defined period lead to repeated bouts of internal loads) [1]. Whereas a single bout of physical exercise leads to distinct acute responses shown by a transient reaction of the organism (beneficial, maintaining, or detrimental depending on the stimuli), repeated bouts of physical exercise ultimately converge into distinct chronic responses (beneficial, maintaining, or detrimental depending on the stimuli).

With regard to our definition of dose, and given that internal load as acute response is a part of dose, the term "response" in the frequently used phrase "dose-response" should be specified as "chronic response" (effect on a specific outcome parameter, e.g., mitochondrial volume and density) in the meaning of adaptation as a potential result of several and consecutive sessions of physical exercise. To be even more precise and to broaden the understanding of the dose–response relationship, we recommend redefining the phrase "dose-response" as "dose-outcome", which specifies the link to an acute outcome parameter (in regard to a single bout of physical exercise) or a chronic outcome parameter (in regard to repeated bouts of physical exercise defined as training) according to the respective objective. In this context, dose could be seen as an independent variable or a set of independent variables which we assume to be involved in biological processes in general and in a complex response matrix and signal transduction [35], specifically leading to a distinct "outcome" (dependent variable). However, according to the definitions, internal load as proxy of the dose could be controlled by modifying the external load in consideration of exercise and training principles (e.g., periodization for the planned systematic and structural variation of a training program over time with an adequate ratio of load and recovery periods) and influencing factors such as the actual state of the psychophysiological capacity level (including level of performance).

#### 3. Implications and Areas for Future Research

Valid indicators that represent the most appropriate proxies of dose for prescribing physical interventions are highly specific and more research is needed to identify them (with regard to the context and/or specific acute or chronic responses) [18]. In this regard, current concepts discuss promising internal load parameters (e.g., brain-derived parameters, hormones) to prescribe physical exercise, in addition to traditional measures like heart rate, blood lactate concentration, or rating of perceived exertion [36]. Nevertheless, there is a good, at least theoretical, rationale in support of the individualization of exercise and training prescription by providing a distinct (comparable and standardizable) dose across individuals to elicit the desired psychophysiological responses, which would in turn allow for a better comparison of outcomes across different individuals [2,37,38]. Therefore, existing recommendations endorse the adequate prescription of single exercise sessions and/or training with the specification of parameters of external load and markers of internal load in science and practice [31,32,38,39]. Furthermore, regarding controlled trials of physical interventions and difficulties for blinding participants, it is advisable to include a sham condition in order to avoid potential biases for at least some of a multitude of influencing factors regarding the positive effects of physical activity and physical exercise. A sham intervention should be designed very specifically and should aim to closely replicate virtually all of the elements of a physical exercise condition, regarding variables of physical exercise and physical training (e.g., setting and equipment, socialization, supervision, care, motivation and counselling, outcome expectations, modality and type of exercise, volume, duration, movement frequency, training frequency and density, e.g., [18,40,41]), with the exception of important (hypothesized) prescriptive elements leading to targeted outcomes (e.g., exercise intensity, progression over time). Promising methodological approaches already exist for this purpose [42]. The importance of controlling for social support when designing interventions, which points out the need for adequate sham intervention, has also been highlighted by different authors [43,44]. This approach will further ensure high quality standards for the evaluation of exercise and training prescription and the dose effects of physical interventions.

#### 4. Conclusions

In essence, this brief opinion provides a new and clearer definition of the terms dose and response in the context of exercise and training prescription. We propose that the dose of physical exercise and/or physical training should be operationalized by a specific marker (or specific markers) of internal load. Modifying the exercise prescription by carefully adjusting the external load, a comparable dose can be achieved across individuals, discovering the "real" interindividual heterogeneity regarding acute and chronic responses to physical interventions. We strongly encourage researcher to investigate whether exercise and training prescription that induces a comparable dose may reduce the interindividual heterogeneity considering specific (targeted) outcome variables [45].

**Author Contributions:** Substantial contributions to the conception and design of the work: T.G., A.T., and F.H. First draft of the work: T.G. Revisiting it critically for important intellectual content: T.G., A.T., F.H., and H.B. All authors have read and approved the final version of the manuscript and agree to the order of presentation of the authors.

Funding: This research received no external funding.

Acknowledgments: We want to acknowledge the enriching discussion with our students of sports sciences (Medical School Hamburg, Otto von Guericke University Magdeburg) and colleagues that helped us to push forward the idea to develop this viewpoint article and to refine our thoughts with regard to the "dose–response" relationship in physical exercise and training prescription.

Conflicts of Interest: The authors declare no conflict of interest.

### References

- Budde, H.; Schwarz, R.; Velasques, B.; Ribeiro, P.; Holzweg, M.; Machado, S.; Brazaitis, M.; Staack, F.; Wegner, M. The need for differentiating between exercise, physical activity, and training. *Autoimmun. Rev.* 2016, 15, 110–111. [CrossRef]
- 2. Gronwald, T.; Budde, H. Commentary: Physical exercise as personalized medicine for dementia prevention? *Front. Physiol.* **2019**, *10*, 1358. [CrossRef]
- 3. Pedersen, B.K.; Saltin, B. Exercise as medicine—Evidence for prescribing exercise as therapy in 26 different chronic diseases. *Scand. J. Med. Sci. Sports* **2015**, *25*, 1–72. [CrossRef] [PubMed]
- 4. Luan, X.; Tian, X.; Zhang, H.; Huang, R.; Li, N.; Chen, P.; Wang, R. Exercise as a prescription for patients with various diseases. *J. Sport Health Sci.* **2019**, *8*, 422–441. [CrossRef] [PubMed]
- 5. Buford, T.W.; Roberts, M.D.; Church, T.S. Toward exercise as personalized medicine. *Sports Med.* **2013**, *43*, 157–165. [CrossRef] [PubMed]
- 6. Zubin Maslov, P.; Schulman, A.; Lavie, C.J.; Narula, J. Personalized exercise dose prescription. *Eur. Heart J.* **2018**, *39*, 2346–2355. [CrossRef]
- 7. Kasper, K. Sports Training Principles. Curr. Sports Med. Rep. 2019, 18, 95–96. [CrossRef]
- 8. Wasfy, M.M.; Baggish, A.L. Exercise dose in clinical practice. *Circulation* **2016**, 133, 2297–2313. [CrossRef]
- Pontifex, M.B.; McGowan, A.L.; Chandler, M.C.; Gwizdala, K.L.; Parks, A.C.; Fenn, K.; Kamijo, K. A primer on investigating the after effects of acute bouts of physical activity on cognition. *Psychol. Sport Exerc.* 2018, 40, 1–22. [CrossRef]
- 10. Lightfoot, J.T. Commentary on viewpoint: Perspective on the future use of genomics in exercise prescription. *J. Appl. Physiol.* **2008**, *104*, 1249. [CrossRef]
- Barha, C.K.; Galea, L.A.; Nagamatsu, L.S.; Erickson, K.I.; Liu-Ambrose, T. Personalising exercise recommendations for brain health: Considerations and future directions. *Br. J. Sports Med.* 2017, *51*, 636–639. [CrossRef] [PubMed]
- 12. Bogataj, Š.; Pajek, M.; Pajek, J.; Buturović Ponikvar, J.; Paravlic, A.H. Exercise-Based Interventions in Hemodialysis Patients: A Systematic Review with a Meta-Analysis of Randomized Controlled Trials. *J. Clin. Med.* **2020**, *9*, 43. [CrossRef] [PubMed]
- 13. Sparks, L.M. Exercise training response heterogeneity: Physiological and molecular insights. *Diabetologia* **2017**, *60*, 2329–2336. [CrossRef]
- 14. Pickering, C.; Kiely, J. Do Non-Responders to Exercise Exist-and If So, What Should We Do About Them? *Sports Med.* **2019**, *49*, 1–7. [CrossRef] [PubMed]
- Ross, R.; Goodpaster, B.H.; Koch, L.G.; Sarzynski, M.A.; Kohrt, W.M.; Johannsen, N.M.; Skinner, J.S.; Castro, A.; Irving, B.A.; Noland, R.C.; et al. Precision exercise medicine: Understanding exercise response variability. *Br. J. Sports Med.* 2019, *53*, 1141–1153. [CrossRef]
- 16. Atkinson, G.; Williamson, P.; Batterham, A.M. Issues in the determination of 'responders' and 'non-responders' in physiological research. *Exp. Physiol.* **2019**, *104*, 1215–1225. [CrossRef] [PubMed]
- 17. Voisin, S.; Jacques, M.; Lucia, A.; Bishop, D.J.; Eynon, N. Statistical considerations for exercise protocols aimed at measuring trainability. *Exerc. Sports Sci. Rev.* **2019**, 47, 37–45. [CrossRef] [PubMed]

- 18. Herold, F.; Müller, P.; Gronwald, T.; Müller, N.G. Dose-response matters!—A perspective on the exercise prescription in exercise-cognition research. *Front. Psychol.* **2019**, *10*, 2338. [CrossRef]
- 19. Mann, T.N.; Lamberts, R.P.; Lambert, M.I. High responders and low responders: Factors associated with individual variation in response to standardized training. *Sports Med.* **2014**, *44*, 1113–1124. [CrossRef]
- 20. Ross, R.; de Lannoy, L.; Stotz, P.J. Separate Effects of Intensity and Amount of Exercise on Interindividual Cardiorespiratory Fitness Response. *Mayo Clin. Proc.* **2015**, *90*, 1506–1514. [CrossRef]
- 21. Montero, D.; Lundby, C. Refuting the myth of non-response to exercise training: 'non-responders' do respond to higher dose of training. *J. Physiol.* **2017**, *595*, 3377–3387. [CrossRef] [PubMed]
- 22. Greenham, G.; Buckley, J.D.; Garrett, J.; Eston, R.; Norton, K. Biomarkers of physiological responses to periods of intensified, non-resistance-based exercise training in well-trained male athletes: A systematic review and meta-analysis. *Sports Med.* **2018**, *48*, 2517–2548. [CrossRef]
- 23. Voils, C.I.; Chang, Y.; Crandell, J.; Leeman, J.; Sandelowski, M.; Maciejewski, M.L. Informing the dosing of interventions in randomized trials. *Contemp. Clin. Trials* **2012**, *33*, 1225–1230. [CrossRef]
- 24. Halson, S.L. Monitoring training load to understand fatigue in athletes. *Sports Med.* **2014**, *44*, 139–147. [CrossRef] [PubMed]
- 25. Soligard, T.; Schwellnus, M.; Alonso, J.-M.; Bahr, R.; Clarsen, B.; Dijkstra, H.P.; Gabbett, T.; Gleeson, M.; Hägglund, M.; Hutchinson, M.R.; et al. How much is too much? (Part 1) International Olympic Committee consensus statement on load in sport and risk of injury. *Br. J. Sports Med.* **2016**, *50*, 1030–1041. [CrossRef]
- 26. Burgess, D.J. The Research Doesn't Always Apply: Practical Solutions to Evidence-Based Training-Load Monitoring in Elite Team Sports. *Int. J. Sports Physiol. Perf.* **2017**, *12*, S2136–S2141. [CrossRef] [PubMed]
- Bourdon, P.C.; Cardinale, M.; Murray, A.; Gastin, P.; Kellmann, M.; Varley, M.C.; Gabbett, T.J.; Coutts, A.J.; Burgess, D.J.; Gregson, W.; et al. Monitoring Athlete Training Loads: Consensus Statement. *Int. J. Sports Physiol. Perf.* 2017, 12, S161–S170. [CrossRef] [PubMed]
- Vanrenterghem, J.; Nedergaard, N.J.; Robinson, M.A.; Drust, B. Training Load Monitoring in Team Sports: A Novel Framework Separating Physiological and Biomechanical Load-Adaptation Pathways. *Sports Med.* 2017, 47, 2135–2142. [CrossRef]
- McLaren, S.J.; Macpherson, T.W.; Coutts, A.J.; Hurst, C.; Spears, I.R.; Weston, M. The Relationships Between Internal and External Measures of Training Load and Intensity in Team Sports: A Meta-Analysis. *Sports Med.* 2018, 48, 641–658. [CrossRef]
- 30. Impellizzeri, F.M.; Marcora, S.M.; Coutts, A.J. Internal and External Training Load: 15 Years On. *Int. J. Sports Physiol. Perf.* **2019**, *14*, 270–273. [CrossRef]
- 31. Banister, E.W.; Calvert, T.W.; Savage, M.V.; Bach, T. A system model of training for athletic performance. *Aust. J. Sports Med.* **1975**, *7*, 57–61.
- 32. Foster, C.; Florhaug, J.A.; Franklin, J.; Gottschall, L.; Hrovatin, L.A.; Parker, S.; Doleshal, P.; Dodge, C. A new approach to monitoring exercise training. *J. Strength Cond. Res.* **2001**, *15*, 109–115.
- 33. Borresen, J.; Lambert, M.I. The quantification of training load, the training response and the effect on performance. *Sports Med.* **2009**, *39*, 779–795. [CrossRef] [PubMed]
- 34. Foster, C.; Rodriguez-Marroyo, J.A.; de Koning, J.J. Monitoring training loads: The past, the present, and the future. *Int. J. Sports Physiol. Perform.* **2017**, *12*, S22–S28. [CrossRef] [PubMed]
- 35. Toigo, M.; Boutellier, U. New fundamental resistance exercise determinants of molecular and cellular muscle adaptations. *Eur. J. Appl. Physiol.* **2006**, *97*, 643–663. [CrossRef] [PubMed]
- 36. Herold, F.; Gronwald, T.; Scholkmann, F.; Zohdi, H.; Wyser, D.; Müller, N.G.; Hamacher, D. New Directions in Exercise Prescription: Is There a Role for Brain-Derived Parameters Obtained by Functional Near-Infrared Spectroscopy? *Brain Sci.* **2020**, *10*, 342. [CrossRef]
- 37. Gronwald, T.; Velasques, B.; Ribeiro, P.; Machado, S.; Murillo-Rodriguez, E.; Ludyga, S.; Yamamoto, T.; Budde, H. Increasing exercise's effect on mental health: Exercise intensity does matter! *PNAS* **2018**, *115*, E11890–E11891. [CrossRef]
- 38. Gronwald, T.; de Bem Alves, A.C.; Murillo-Rodriguez, E.; Latini, A.; Schuette, J.; Budde, H. Standardization of exercise intensity and consideration of a dose-response is essential. Commentary on "Exercise-linked FNDC5/irisin rescues synaptic plasticity and memory defects in Alzheimer's models", by Lourenco et al. published 2019 in Nature Medicine. J. Sport Health Sci. 2019, 8, 353–354. [CrossRef]
- 39. Impellizzeri, F.M.; Rampinini, E.; Coutts, A.J.; Sassi, A.; Marcora, S.M. Use of RPE-based training load in soccer. *Med. Sci. Sports Exerc.* 2004, *36*, 1042–1047. [CrossRef]

- Bogataj, Š.; Pajek, J.; Ponikvar, J.B.; Hadžić, V.; Pajek, M. Kinesiologist-guided functional exercise in addition to intradialytic cycling program in end-stage kidney disease patients: A randomised controlled trial. *Sci. Rep.* 2020, *10*, 1–10. [CrossRef]
- 41. Bogataj, Š.; Pajek, M.; Buturović Ponikvar, J.; Pajek, J. Outcome Expectations for Exercise and Decisional Balance Questionnaires Predict Adherence and Efficacy of Exercise Programs in Dialysis Patients. *Int. J. Envorin. Res. Public Health* **2020**, *17*, 3175.
- 42. Lange, A.K.; Vanwanseele, B.; Foroughi, N.; Baker, M.K.; Shnier, R.; Smith, R.M.; Singh, M.A.F. Resistive Exercise for Arthritic Cartilage Health (REACH): A randomized double-blind, sham-exercise controlled trial. *BMC Geriatrics* **2009**, *9*, 1. [CrossRef] [PubMed]
- 43. Budde, H.; Akko, D.P.; Ainamani, H.E.; Murillo-Rodríguez, E.; Weierstall, R. The impact of an exercise training intervention on cortisol levels and post-traumatic stress disorder in juveniles from an Ugandan refugee settlement: Study protocol for a randomized control trial. *Trials* **2018**, *19*, 364. [CrossRef] [PubMed]
- 44. Wegner, M.; Amatriain-Fernández, S.; Kaulitzky, A.; Murillo-Rodriguez, E.; Machado, S.; Budde, H. Systematic review of meta-analyses: Exercise effects on depression in children and adolescents. *Front. Psych.* **2020**, *11*, 81. [CrossRef] [PubMed]
- 45. Herold, F.; Törpel, A.; Hamacher, D.; Budde, H.; Gronwald, T. A Discussion on Different Approaches for Prescribing Physical Interventions—Four Roads Lead to Rome, but Which One Should We Choose? *J. Pers. Med.* **2020**, *10*, 55. [CrossRef]



© 2020 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (http://creativecommons.org/licenses/by/4.0/).